

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application ) PATENT APPLICATION  
)  
Inventor(s): David Gilbert, Vishwanath Shastry, David )  
Huntley and Joseph Valleta )  
)  
SC/Serial No.: 09/837,650 )  
)  
Filed: April 18, 2001 )  
)  
Title: A METHOD, SYSTEM, COMPUTER ) Customer No. 28554  
AND COMPUTER READABLE MEDIUM )  
FOR IDENTIFYING A PREFERRED )  
DEFINED CONTRIBUTION PLAN )

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231, on October 25, 2001.

1972  
Kirk J. DeNiro, Reg. No. 35,854  
Signature Date: October 25, 2001

(Attorney Signature)

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REQUEST FOR CORRECTION OF FILING RECEIPT

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Dear Sir/Madam:

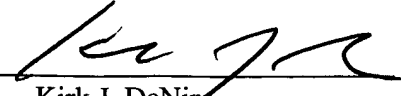
Please make the following correction to the Filing Receipt in the above-identified patent application:

The "Domestic Priority data as claimed by applicant" information is missing from the Filing Receipt. Under "Claim of Priority" in the application, it is stated, "The present application claims priority to U.S. Provisional Patent Application Serial No. 60/197,992, entitled, 'Method and System for Research, Analysis and Electronic Prioritization of Defined Contribution Benefit Plan Investments,' filed on April 18, 2000." Please correct the Filing Receipt accordingly.

There is no fee due with this communication.

Respectfully submitted,

Date: 10/25/01

By:   
Kirk J. DeNiro  
Reg. No. 35,854

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Bib Data Sheet

CONFIRMATION NO. 9124

<b>SERIAL NUMBER</b> 09/837,650	<b>FILING DATE</b> 04/18/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2164	<b>ATTORNEY DOCKET NO.</b> KONN-01000US0
<b>APPLICANTS</b> David Gilbert, Emerald Hills, CA; Vishwanath Shastry, San Francisco, CA; David Huntley, Townson, MD; Joseph Valleta, Townson, MD;				
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/197,992 04/18/2000				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 06/13/2001</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance		<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 6	<b>TOTAL CLAIMS</b> 25
Verified and Acknowledged Examiner's Signature _____ Initials _____		<b>INDEPENDENT CLAIMS</b> 5		
<b>ADDRESS</b> 28554				
<b>TITLE</b> Method, system, computer, and computer readable medium for identifying a preferred defined contribution plan				
<b>FILING FEE RECEIVED</b> 545	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	